



TO WHOM SO EVER IT MAY CONCERN

Sub: Annual certificate confirming compliance with the client level segregation requirements as specified in Regulation 22 of the SEBI Investment Advisors, 2020 Regulations

With reference to the captioned subject, we have provided this annual certificate confirming the audit of Pace Financial Investment Adviser Private Limited (herein referred as "RIA"), having SEBI registration no- **INA100013737**, as required under sub-clause (i) of Clause 2 of the circular no SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020, having subject: **Guidelines for Investment Advisers**.

On the basis of information available and according to the explanation given to us we hereby certify that RIA i.e. Pace Financial Investment Adviser Private Limited is not involved in investment advisory activities during the period started from 01st April, 2020 and ended on 31st March, 2021.

Based on the verification of submitted records and available information, it is certified that the investment adviser has not advised to any client during the financial year 2020-2021 and hence maintaining the client level segregation for its advisory and distribution activities does not apply during the said period.

For H.N. PRADHAN & CO.
Chartered Accountants
Firm Regn. No. 02208-N



Arpit Batwara

(CA. ARPIT BATWARA)
Partner
M.No. 532503
UDIN:22532503ALVDTF8413

Place: New Delhi
Dated: 24.06.2022